# AZAL AHMAD KHAN

Guwahati, Assam 781039

# **Research Interests**

Alignment in LLMs, Reasoning Capabilities in LLMs, LLM Inference, Prompting Techniques in LLMs

### Education

Indian Institute of Technology Guwahati Bachelor of Technology in Chemical Science and Technology

**Thesis Advisor:** Dr. Debanga Raj Neog, School of Data Science and Artificial Intelligence Thesis Title: Trustworthy Language Models and Optimized Text-to-Image Synthesis via Direct Preference Optimization [Thesis] [Presentation]

# **Publications & Pre-prints**

#### [1] Direct Preference Optimization for Prompt Engineering in Text-to-Image Synthesis Azal Ahmad Khan, Xinran Wang, Ahmad Faraz Khan, Ali Anwar, Debanga Raj Neog [ COLM 2024 ] Conference on Language Modeling 2024 (under review)

- [2] Mitigating Sycophancy in Large Language Models via Direct Preference Optimization Azal Ahmad Khan, Sayan Alam, Xinran Wang, Ahmad Faraz Khan, Ali Anwar, Debanga Raj Neog Conference on Language Modeling 2024 (under review) [ COLM 2024 ]
- [3] FLOAT: Federated Learning Optimizations with Automated Tunnings Ahmad Faraz Khan, Azal Ahmad Khan, Samuel Fountain, Ahmed M. Abdelmoniem, Ali Butt, Ali Anwar In Proceedings of The European Conference on Computer Systems (Acceptance Rate: 16%) [ EuroSys 2024 ]
- [4] PI-FL: Personalized and Incentivized Federated Learning Ahmad Faraz Khan, Xinran Wang, Qi Le, Azal Ahmad Khan, Haider Ali, Jie Ding, Ali Anwar, Ali Butt Advances in Neural Information Processing Systems (under review) [ NeurIPS 2024 ]
- [5] A quantum-inspired predator-prey algorithm for discrete optimization Azal Ahmad Khan, Salman Hussain, Rohitash Chandra Recent Advances in Algorithms for Swarm Systems 2024 [RAASS 2024]
- [6] Personalized Federated Learning Techniques: Empirical Analysis Azal Ahmad Khan, Ahmad Faraz Khan, Ali Anwar IEEE Transactions on Parallel and Distributed Systems 2024 (under review) [ IEEE TPDS 2024 ]
- [7] A review of ensemble learning and data augmentation models for class imbalanced problems: combination, implementation and evaluation. Azal Ahmad Khan, Omkar Chaudhari, Rohitash Chandra Expert Systems With Applications 2024 [Elsevier ESWA 2024]

# Experience

# **Research Intern, University of Minnesota**

Advisor: Dr. Ali Anwar, Computer Science and Engineering, University of Minnesota

• Conducted empirical analysis on 10 personalized PFL across heterogeneous settings, providing valuable insights.

- Developed an incentivization approach in PFL, outperforming other algorithms in performance in non-IID settings.
- Improved federated learning efficiency by employing reinforcement learning to minimize staleness for non-IID clients.
- Leading research project at the intersection of parallelization and LLM to enhance inference speed and scalability.

01/2022 - Present

Remote

11/2020 - 05/2024

Guwahati, Assam

#### **Research Intern, University of New South Wales**

Advisor: Dr. Rohitash Chandra, School of Mathematics and Statistics, University of New South Wales

- Co-authored 3 research papers and worked on quantum chemistry, computer optimization, and deep learning
- Developed a metaheuristic optimization algorithm that outperformed 8 SOTA methods in best solution and speed.
- Conducted computational analysis of data augmentation and ensemble learning to address class imbalance challenges.

#### **Research Intern**, Yale University

Advisor: Dr. David Van Dijk, Computer Science, Yale University

- Applied Deep Learning to model mesoscopic calcium imaging data, illuminating cognitive processes in brain dynamics.
- Applied Vision Transformers, Neural Ordinary Differential Equations, and Continuous Transformers on mice brain data.

# **Other Research Projects**

### QCMBO: Quantum Cat and Mouse Based Optimization Algorithm

Instructor: Dr. Prakash Kotecha, Department of Chemical Engineering

- Developed a novel optimization algorithm inspired by quantum chemistry and natural laws.
- Evaluated the algorithm on 14 objective functions and applied it to production planning problems.
- QCMBO outperformed famous pre-developed algorithms like PSO, s-TLBO, and real-coded GA.
- Performed statistical analysis(t-test) and sensitivity analysis to show algorithms performance.

DynamicNet: LC Detection with BiLSTM for Dynamic Environments in VSLAM 08/2023 – 11/2023 Instructor: Dr. Arijit Sur, Department of Computer Science and Engineering 片

- Developed DL model using CNN and BiLSTM methods for Visual SLAM to improve accuracy in loop closure detection.
- Employed novel framework with ResNet-50 and Cosine Similarity Metric on New College and City Center Dataset.
- Outperformed SOTA methods by incorporating Dynamic Object Handling and Adaptive Thresholding Strategies.

#### Grants

Research Week with Google 2024 Received a travel grant to attend Research Week 2024 at Google Research, India.

#### Services

2024: Reviewer COLM 2024, SetLLM-ICLR 2024, Tiny Papers-ICLR 2024 2023: Reviewer GenBio-NeurIPS 2023

# **Positions and Volunteer Works**

Coordinator, Coding Club IITG: Participated in conducting various events, courses, and projects. 06/2021-04/2022 Associate, Consulting and Analytics Club: Contributed to organizing various club events. 06/2021-04/2022 Volunteer, NSS(National Service Scheme): Participated in campaigns for student awareness. 08/2022-11/2022 City Representative, Technothlon: Represented Technothlon IIT G in multiple schools in the city. 06/2021-08/2021

#### Technical Skills

**Programming:** Python, C/C++ ML Tools: Pandas, Numpy, Scipy, Matplotlib, Seaborn, Scikit-learn, Keras, Tensorflow, Pytorch, OpenCV, Hugging Face Miscellaneous: Jupyter Notebook, Google Colab, Git, GitHub, SQL, LATEX Web Technologies: HTML, CSS, BootStrap, Django **Operating Systems:** MacOS, Windows

#### References

Dr. Ali Anwar

Assistant Professor University of Minnesota aanwar@umn.edu

Dr. Debanga Raj Neog Assistant Professor IIT Guwahati dneog@iitg.ac.in

Dr. Rohitash Chandra Senior Lecturer University of New South Wales rohitash.chandra@unsw.edu.au

# 01/2022 - 12/2023

06/2022 - 08/2022

08/2022 - 11/2022

Remote

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Remote